





| | | | |
|--|-----|--|-------------------|
| 0001 PTO Rev. 10/95 | | U.S. Department of Commerce Patent and Trademark Office | |
| <h1>TRANSMITTAL FORM</h1> <p><i>(to be used for all correspondence during pendency of filed application)</i></p> | | Application Number | 10/713,589 |
| | | Filing Date | November 13, 2003 |
| | | First Named Inventor | Guozhu Long |
| | | Group Art Unit Number | 2661 |
| | | Examiner Name | Not Yet Known |
| Total Number of Pages in This Submission | 6** | Attorney Docket Number | 21676-07332 |

| ENCLOSURES (check all that apply) | |
|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) | <input type="checkbox"/> Issue Fee Transmittal |
| <input checked="" type="checkbox"/> Check Enclosed \$180.00 | <input type="checkbox"/> Letter to Chief Draftsperson |
| <input checked="" type="checkbox"/> Return Receipt Postcard | <input type="checkbox"/> Formal Drawing(s): |
| <input type="checkbox"/> Response to Notice to File Missing Parts | <input type="checkbox"/> [] Sheet(s) of Figure(s) [] |
| <input type="checkbox"/> Assignment & Recordation Cover Sheet | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Declaration | <input type="checkbox"/> Appeal Communication to Group |
| <input type="checkbox"/> Power of Attorney | <input type="checkbox"/> (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> Application Data Sheet | <input type="checkbox"/> Certified Copy of Priority Document(s) |
| <input checked="" type="checkbox"/> Information Disclosure Statement & PTO/SB/08A | <input type="checkbox"/> After Allowance Communication to Group |
| <input checked="" type="checkbox"/> Copies of IDS Cited References | <input type="checkbox"/> |
| <input type="checkbox"/> Request for Corrected Filing Receipt | <input type="checkbox"/> |
| <input type="checkbox"/> Request for Correction of Recorded Assignment | <input type="checkbox"/> |
| <input type="checkbox"/> Amendment/Response: [] Page(s) | <input type="checkbox"/> |
| <input type="checkbox"/> After Final | <input type="checkbox"/> |
| <input type="checkbox"/> Status Request | <input type="checkbox"/> |
| <input type="checkbox"/> Revocation and Substitute Power of Attorney | <input type="checkbox"/> |
| REMARKS: ** total pages does not include cited references. | |

| | | | |
|--------------------------------|---|--------|---------------|
| SIGNATURE OF ATTORNEY OR AGENT | | | |
| Signature: |  | | |
| Attorney/Reg. No.: | Robert A. Hulse, Reg. No 48,473 | Dated: | March 2, 2004 |

| | | | |
|--|--|---|----------------------|
| CERTIFICATE OF MAILING | | | |
| I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10. | | | |
| Signature: | |  | |
| Typed or Printed Name: | | Robert A. Hulse | Dated: March 2, 2004 |
| Express Mail Mailing Number (optional): | | | |

The PTO did not receive the following listed item(s) admiral Feb 9 1900



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Guozhu Long et al.
APPLICATION NO.: 10/713,589
FILING DATE: November 13, 2003
TITLE: CT-95: Obtaining and Maintaining TTR Synchronization During
DSL Transceiver Channel Discovery Phase in Presence of TCM-
ISDN Noise
EXAMINER: Not Yet Known
GROUP ART UNIT: 2661
ATTY. DKT. NO.: 21676-07332

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Non-Fee Amendment, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated: March 2, 2004

By: 

Robert A. Hulse, Reg. No.: 48,473

MAIL STOP NON-FEE AMENDMENT
COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner. Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

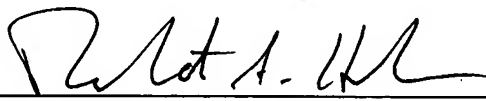
- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;
- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR §1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
 - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:
 - ☐ Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

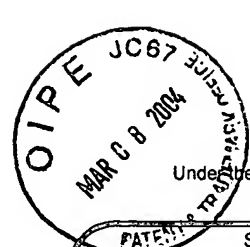
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND
- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- ☐ after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. APPLICATION NO., filed on DATE FILED, and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- ☒ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
GUOZHU LONG ET AL.

Dated: March 2, 2004

By: 
Robert A. Hulse, Reg. No.: 48,473
Fenwick & West LLP
Silicon Valley Center
801 California Street
Mountain View, CA 94041
Tel.: (415) 875-2495
Fax.: (650) 938-5200



| | | | | | |
|--|---|----|---|--------------------------|-------------------|
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | Complete if Known | |
| | | | | Application No. | 10/713,589 |
| | | | | Filing Date | November 13, 2003 |
| | | | | First Named Inventor | Guozhu Long |
| | | | | Art Unit | 2661 |
| | | | | Examiner Name | Not Yet Known |
| Sheet | 1 | of | 1 | Attorney Docket Number | 21676-07332 |

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document No. Number – Kind Code ² (if known) | Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
|-----------------------|--------------------------|---|--------------------|--|
| | | US- | | |
| | | US- | | TYPE HERE AND SAVE |

FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document Country Code ³ – Number ⁴ Kind Code ⁵ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | T ⁶ |
|-----------------------|--------------------------|--|--------------------------------|--|----------------|
| | | | | | |

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T ⁶ |
|-----------------------|--------------------------|--|----------------|
| | C1 | Telecommunications Standardization Sector; Study Group 15 – Delayed Contribution 795 Draft New Annex C to Recommendation G.992.3 (for consent); study period 2001-2004; p. 1-43. | |
| | C2 | C2ITU – Telecommunication Standardization Sector Study Group 15 – Portland Oregon, July 7-11, 2003; ADSL2: Proposed Change to the Text for Channel Discovery Phase in G.992.3 Annex C; 2 pages. | |
| | C3 | ITU – Telecommunications Standardization Sector; Study Group 15; Durango, Colorado, April 14-18, 2003; ADSL2: Issues and Proposals for the Channel Discovery Phase in G.992.3 Annex C; 9 pages | |
| | C4 | ITU – Telecommunications Standardization Sector; Study Group 15; Durango, Colorado, April 14-18, 2003; ADSL2: Proposed text for Channel Discovery phas in G.992.3 Annex C; 6 pages. | |

| | | | |
|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
|-----------------------|--|--------------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

21676/07332/SF/5115984.1